

ISLAMIC STOCKBROKING WINDOW CORPORATE TRADING ACCOUNT APPLICATION FORM PRODUCT DETAILS

IOPA003BMar18

PART ONE - ACCOUNT DETAILS

NAME OF APPLICANT :

CLIENT CODE : REGISTRATION NO. :

PART TWO- ACCOUNT TYPE

Account Description: (please select one)

Islamic Trading- Cash Upfront Account

Others, please specify : _____

PART THREE - ONLINE TRADING FACILITY

We would like to apply for online trading : Yes No

If Yes, please provide your preferred first and second choice user ID preferences (alphanumeric, min 6 characters, max 10 characters)

User ID first preference :

User ID second preference :

FOR INTERNAL USE ONLY

ACCOUNT CODE:

DEALER / REMISIER CODE:

ONLINE TRADING :

if both User ID preferences are not available, we wil default the User ID to your Client Code assigned by the company

To be completed by operations

CLIENT CODE :

NFTFV : No Yes

Verification form submitted No Yes

PART FOUR - BANK NEGARA MALAYSIA FOREIGN EXCHANGE ADMINISTRATION RULES (FEA rules)

We are Residents of Malaysia We are NOT Residents of Malaysia

To be completed by Resident of Malaysia only (Please tick (/) whichever applicable)

We do not have domestic ringgit borrowings and thus allowed to convert any amount of Ringgit currency for the purpose of funding our investment abroad and we undertake to inform MSSB immediately should we subsequently obtain domestic ringgit borrowings or upon request by MSSB ; or

We have domestic ringgit borrowings and thus allowed to convert up to RM50,000,000 in aggregate per calendar year within our group of resident entities with parent-subsidiary relationship per calendar year for the purpose of funding our investment abroad which we have/we will have with MSSB and others, are to be taken into account for purpose of FEA rules. We hereby undertake to inform MSSB immediately once our investment amount has reached the maximum allowable limit

PART FIVE - DECLARATION BY APPLICANT

We hereby solemnly, voluntarily, conscientiously, irrevocably and unconditionally declare (conscientiously believing the same to be true), that we have read and fully understood the full contents of the following:

1. We acknowledge and agree to abide by all the terms in the Islamic Stockbroking Window (ISBW) Trading Account Application "Client Details" Form, ISBW Trading Account Application "Product Details" Form (collectively referred to as "Application Forms") and Terms and Conditions for the Operation of Securities Trading Account(s) in ISBW in Malacca Securities Sdn Bhd (MSSB)
2. The particulars and information given in the Application Forms are true and correct and we have not withheld any material facts or information from MSSB. We undertake to furnish MSSB with such additional particulars as MSSB may require from time to time. We further authorize MSSB to verify the information given by us from any source (including third parties) and in such manner as MSSB shall deem fit. We undertake to inform MSSB in writing should there be any change(s) to our particulars declared in the Application Forms.
3. We hereby agree that all payments (including but not limited to sales proceeds, contra gain and credits) due to us shall be auto credited to our trust account maintained with MSSB.
4. We have read and understood the risks in investing in structured warrants
5. We will adhere to the Best Practices for Shariah Investing which is available at www.mplonline.com.my

PLEASE PRINT THE COMPLETED FORM AND PHYSICALLY SIGN WITHIN THE BOX

DIGITAL SIGNATURE IS NOT ACCEPTED



Affixation of Common seal or Company rubber stamp

Signature of Applicant

Applicant Name : _____

Date :

PART SIX - TO BE COMPLETED BY DEALER'S REPRESENTATIVE

How do I know the applicant _____ No. of years I have known the applicant: Year(s)

Existing trading limit with other broker RM Name of broker : _____

Applicant knowledge of trading rules Poor Average Above Average Excellent

Cash / Shares to be deposited Cash - RM Shares- please provide counters _____

Intra day Trade Yes No

Proposed trading limit recommended Trading Account (J) RM

Other relevant information to justify the recommendation:

DECLARATION BY DEALER'S REPRESENTATIVE

I hereby

- submit this application to open trading account(s) with Malacca Securities Sdn Bhd and request you to approve this application and allow me to act as the Dealer's Representative for the said applicant.
- confirm that the information given by me above is true and I have not withheld any information which might prejudice this application
- undertake to indemnify Malacca Securities Sdn Bhd against any losses, damages, debts, charges and all other costs and expenses whatsoever incurred or suffered or which Malacca Securities Sdn Bhd may incur or suffer in relation to Malacca Securities Sdn Bhd opening the account of this Applicant and the subsequent operation thereof.

PLEASE PRINT THE COMPLETED FORM
AND PHYSICALLY SIGN WITHIN THE BOX
DIGITAL SIGNATURE IS NOT ACCEPTED



Signature of Dealer's Representative

Dealer Name : _____
DR Code : _____
Date : DD MM YYYY

FOR INTERNAL USE ONLY - DOCUMENT CHECKLIST

Application form duly completed and signed by Authorised Persons in the Board Resolution & Dealer's Representative	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Guarantee & Indemnity Form signed by Company Directors and witnessed by Dealer's Representative (not applicable for "C" Account)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Existing account	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Signature verified	<input type="checkbox"/> Yes	<input type="checkbox"/> No
ML/ TF Client Profiling	<input type="checkbox"/> Low Risk	<input type="checkbox"/> Medium Risk <input type="checkbox"/> High Risk

If yes, a/c no. : _____

Verified by : _____ Date _____

Customer ID	_____
CDS NO:	012 - 001 - _____
Intermediate a/c code	AVG001K
DR Code	_____
Account type	___ / ___
Country Code	____
Intraday	<input type="checkbox"/>
Data Entry by	: _____
Checked by	: _____
Date	: _____

RECOMMENDED BY CREDIT CONTROL

Credit Search Done	<input type="checkbox"/> Yes <input type="checkbox"/> No	Remarks _____
Bankruptcy / Summon	<input type="checkbox"/> Yes <input type="checkbox"/> No	_____
Other Related account(s)	<input type="checkbox"/> Yes <input type="checkbox"/> No	Account(s)/Relationship _____

BMSB Defaulter (reference only)

Recommended Limit (J) RM _____ - 00

Justification _____

Recommended by _____ Operations _____ Date _____

Approved Limit (J) RM _____ 00

Approved by _____ Approving Authority _____ Date _____

Trading Limit Keyed-in by _____ Operations _____ Date _____

Reviewed by _____ Compliance Officer _____ Date _____