

# CORPORATE TRADING ACCOUNT APPLICATION FORM PRODUCT DETAILS

OPA002BMar18

## PART ONE - ACCOUNT DETAILS

NAME OF APPLICANT :

CLIENT CODE :  REGISTRATION NO. :

## PART TWO - ACCOUNT TYPE

Account Description: (please select one)

M+ Silver- Cash Upfront Account

M+ Gold Flexi-rate Collateralised T+3 Accounting

M+ T7- Discretionary Financing Account

Others, please specify : \_\_\_\_\_

## FOR INTERNAL USE ONLY

ACCOUNT CODE:

A  
 C  
 L  U  
 Z  
 X

DEALER / REMISIER CODE:

To be completed by operations

CLIENT CODE :

NFTFV :  No  Yes

Verification form submitted  No  Yes

## PART THREE- ONLINE TRADING FACILITY

We would like to apply for online trading :  Yes  No

If Yes, please provide your preferred first and second choice user ID preferences (alphanumeric, min 6 characters, max 10 characters)

User ID first preference :

User ID second preference :

ONLINE TRADING :

*if both User ID preferences are not available, we will default the User ID to your Client Code assigned by the company*

## PART FOUR - BANK NEGARA MALAYSIA FOREIGN EXCHANGE ADMINISTRATION RULES (FEA rules)

We are Residents of Malaysia  We are NOT Residents of Malaysia

To be completed by Resident of Malaysia only (Please tick ( / ) whichever applicable)

We do not have domestic ringgit borrowings and thus allowed to convert any amount of Ringgit currency for the purpose of funding our investment abroad and we undertake to inform MSSB immediately should we subsequently obtain domestic ringgit borrowings or upon request by MSSB ; or

We have domestic ringgit borrowings and thus allowed to convert up to RM50,000,000 in aggregate per calendar year within our group of resident entities with parent-subsidiary relationship per calendar year for the purpose of funding our investment abroad which we have/we will have with MSSB and others, are to be taken into account for purpose of FEA rules. We hereby undertake to inform MSSB immediately once our investment amount has reached the maximum allowable limit

## PART FIVE- DECLARATION BY APPLICANT

We hereby solemnly, voluntarily, conscientiously, irrevocably and unconditionally declare (conscientiously believing the same to be true), that we have read and fully understood the full contents of the following:

- We acknowledge and agree to abide by all the terms in the Trading Account Application "Client Details" Form, Trading Account Application "Product Details" Form (collectively referred to as "Application Forms") and Terms and Conditions for the Operation of Securities Trading Account(s) in Malacca Securities Sdn Bhd (MSSB)
- The particulars and information given in the Application Forms are true and correct and we have not withheld any material facts or information from MSSB. We undertake to furnish MSSB with such additional particulars as MSSB may require from time to time. We further authorize MSSB to verify the information given by us from any source (including third parties) and in such manner as MSSB shall deem fit. We undertake to inform MSSB in writing should there be any change(s) to our particulars declared in the Application Forms.
- We hereby agree that all payments (including but not limited to sales proceeds, contra gain and credits) due to us shall be auto credited to our trust account maintained with MSSB.
- We have read and understood the risks in investing in structured warrants

Affixation of Common seal or Company rubber stamp

PLEASE PRINT THE COMPLETED FORM AND PHYSICALLY SIGN WITHIN THE BOX

DIGITAL SIGNATURE IS NOT ACCEPTED

Signature of Applicant

Applicant Name : \_\_\_\_\_

Date :

## PART SIX - TO BE COMPLETED BY DEALER'S REPRESENTATIVE

How do I know the applicant \_\_\_\_\_ No. of years I have known the applicant:  Year(s)

Existing trading limit with other broker RM  Name of broker : \_\_\_\_\_

Applicant knowledge of trading rules  Poor  Average  Above Average  Excellent

Cash / Shares to be deposited  Cash - RM   Shares- please provide counters \_\_\_\_\_

Intra day Trade  Yes  No

Proposed trading limit recommended Trading Account (A) RM  Collateralized Account (L)/(U)/(Z) RM

Other relevant information to justify the recommendation: \_\_\_\_\_

## DECLARATION BY DEALER'S REPRESENTATIVE

I hereby :

- submit this application to open trading account(s) with Malacca Securities Sdn Bhd and request you to approve this application and allow me to act as the Dealer's Representative for the said applicant.
- confirm that the information given by me above is true and I have not withheld any information which might prejudice this application
- undertake to indemnify Malacca Securities Sdn Bhd against any losses, damages, debts, charges and all other costs and expenses whatsoever incurred or suffered or which Malacca Securities Sdn Bhd may incur or suffer in relation to Malacca Securities Sdn Bhd opening the account of this Applicant and the subsequent operation thereof.

PLEASE PRINT THE COMPLETED FORM AND PHYSICALLY SIGN WITHIN THE BOX

DIGITAL SIGNATURE IS NOT ACCEPTED

Signature of Dealer's Representative

Dealer Name : \_\_\_\_\_

DR Code : \_\_\_\_\_

Date :

